

Federal Maritime Commission

Washington, D.C. 20573-0001

OCEAN TRANSPORTATION INTERMEDIARY LICENSE NON-VESSEL-OPERATING COMMON CARRIER

NO. 028436N

THIS IS TO CERTIFY THAT

SEVEN SEAS TRADING, INC.

is the holder of an Ocean Transportation Intermediary License and, as such, is duly authorized to carry on the business of providing non-vessel-operating common carrier services for the provision of transportation by water of cargo via common carriers between the United States, its territories or possessions, and foreign countries.

This license is conferred pursuant to the authority contained in Section 19 of the Shipping Act of 1984 and is subject to the provisions of said Act, Part 515 of Title 46 of the Code of Federal Regulations as it is or may be amended, and the pertinent provisions of other applicable regulations promulgated under the foregoing Act.

By authority of the Federal Maritime Commission

Director

Bureau of Certification and Licensing

Effective:



FEDERAL MARITIME COMMISSION
BUREAU OF CERTIFICATION AND LICENSING
OFFICE OF OCEAN TRANSPORTATION INTERMEDIARIES
800 North Capitol Street, N.W.
Washington, D.C. 20573-0001

Phone: (202) 523-5843
Fax: (202) 566-0011

SEVEN SEAS TRADING, INC.
Attn: Yelena Farber
1851 NE 146th Street
North Miami, FL 33181

Licensee: SEVEN SEAS TRADING, INC.
Organization Number: 028436
License Number: 028436N
Qualifying Individual: Yelena Farber, Vice President

Industry Analyst: Luther Johnson
E-mail: Ljohnson@fmc.gov

Dear Yelena Farber,

Enclosed is your new Ocean Transportation Intermediary (OTI) license as identified above.

As a new licensee, it is important that you and your employees are familiar with the OTI regulations located at 46 CFR Part 515, *Licensing, Financial Responsibility Requirements, and General Duties for Ocean Transportation Intermediaries*. These regulations may be viewed at the Commission's website at www.fmc.gov. In particular, please note the following specific requirements:

1. Business must be carried out only under the name in which the license has been issued and the licensee's name and license number must be permanently imprinted on all stationery and billing forms. *See* 46 CFR § 515.31.
2. Any changes to information provided in the original license application must be reported to this Bureau within thirty (30) days, *see* 46 CFR § 515.12(e); also certain changes require Commission approval, *see* 46 CFR § 515.20.
3. Regulations governing freight forwarder compensation must be strictly adhered to. *See* 46 CFR §515.42.
4. As a non-vessel-operating common carrier ("NVOCC"), the licensee must comply with 46 CFR Part 520, *Carrier Automated Tariff Systems*, including maintaining a tariff in an automated tariff system and submitting Form FMC-1 to notify the Commission of the location of its tariff.
5. Periodic renewal is required to maintain the license. Please refer to the Commission's Ocean Transportation Intermediaries (OTI) List at <https://www2.fmc.gov/oti/> for your renewal date. *See* 46 CFR §515.14.

It is important that licensees comply with the Shipping Act of 1984 and the Commission's regulations, as failure to do so could result in license revocation or suspension and/or significant monetary penalties. You should be aware that the Commission conducts an audit program of OTI licensees to assist with and to monitor compliance with all applicable statutes and regulations. Should you have any questions, please contact the industry analyst identified above.

Sincerely yours,

Aline Hull, Director
Office of Ocean Transportation Intermediaries

Enclosure